

PATENT

Attorney Docket No. MTI-31041-A

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Ping, Er-Xuan et al.

Serial No. : N/A

Filing Date : Herewith

For : Method For Forming Raised Structures by Controlled Selective Epitaxial Growth of Facet Using Spacer

#3A Pre. Amct.  
M. Braumson  
8/6/02

**CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10**

I hereby certify that, on the date shown below, this correspondence is being:

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37 CFR 1.8(a)

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**Transmission**

☐ transmitted by facsimile to Fax No \_\_\_\_\_ addressed to Examiner \_\_\_\_\_ at the Patent and Trademark Office.

Date:

October 26, 2001

*John B. Howe*

BOX PATENT APPLICATION

Assistant Commissioner for Patents

Washington, D.C. 20231

**PRELIMINARY AMENDMENT**

Sir:

This application is a division of U.S. Serial No. 09/816,962, filed March 23, 2001. Prior to substantive examination, Applicant requests the following amendments be made in the application.

**IN THE CLAIMS**

Please amend the claims as shown in the attached replacement sheets submitted under 37 C.F.R. § 1.12(c).

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Claims 1-100 have been cancelled without prejudice, and are pending in the corresponding parent application S/N 09/816,962. Claims 101, 103, 110 and 122 have been amended. Claims 123-155 have been added. A redline version is enclosed to illustrate the amendments to the claims.

### IN THE SPECIFICATION

Please amend the specification at page 1, by inserting after the title:

### --CROSS-REFERENCE TO RELATED APPLICATIONS

This application is a division of U.S. Pat. Application Serial No. 09/816,962, filed March 23, 2001.--

### IN THE DRAWINGS

Please replace FIGS. 1A-3C (informal) with the enclosed formal drawings for FIGS. 1A-3C. No new matter is added.

### REMARKS

Consideration of the newly added claims is requested.

Claims 101-155 are pending. Support for the newly added claims is in the original claims as filed, and throughout the specification.

It is respectfully submitted that the claims are in condition for allowance and notification to that effect is earnestly solicited. The Examiner is urged to telephone the undersigned attorney if any questions should arise.

Respectfully submitted,

*Kristine M. Strodthoff*

Dated: October 26, 2001

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